| General information about company | |
|--|--------------------------|
| Scrip code | 505010 |
| NSE Symbol | AUTOAXLES |
| MSEI Symbol | N.A |
| ISIN | INE449A01011 |
| Name of the company | AUTOMOTIVE AXLES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|--------------------------------|--------------------|-----------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

| Table I | fable I - Summary Statement holding of specified securities | | | | | | | | | | | | | | | | | | | |
|---------------------|---|----------------------------------|--|---------------------------------|---------------|-------------------|--------------------|-------------------|--|-------------|----------------------------|--|--|---|--|--------------|---------------------------------------|---|--------------------------|--|
| Note : | lote : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet | | | | | | | | | | | | | | | | | | | |
| Categor y (I) | | | No. of fully paid up equity shares held (IV) | | | | | | Number of Voting Rightsheld in each class ofsecurities(IX) | | | | | | Shareholding , as a % | Number of Lo | cked in shares | Number of Shares pledged or otherwise encumbered | | |
| | Category of shareholder (II) | Nos. Of shareholders (III) | | No. Of Partly paid- | No. Of shares | lotal nos. snares | (calculated as per | | No of Voting (XIV) Rig | hts | | No. Of Shares Underlying Outstanding convertible securities (X) | | No. Of Shares Underlying assuming full conversion Outstanding convertible convertible securities (a | assuming full conversion of | (X |) Otherv | | (XIII) | Number of equity |
| | | | | up equity shares held (V) | | | | Class eg: X | Class eg:y | Total | Total as a % of (A+B+C) | | | | percentage of diluted share capital) (XI)= (VII)+(X) | No. (a) | As a % of total Shares held (b) | No. (a) | No. As a % of total dema | shares held in dematerialized form (XIV) |
| (A) | Promoter & Promoter Group | 2 | 10735081 | 1 | | 10735081 | 71.04 | 10,735,081.00 | | 10735081.00 | 71.04 | | | | 71.04 | | | | | 10735081 |
| (B) | Public | 17218 | 4376894 | 4 | | 4376894 | 28.96 | 4376894.00 | | 4376894.00 | 28.96 | | | | 28.96 | | | | | 4314649 |
| (C) | Non Promoter- Non Public | | | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | | | |
| | Total | 17220 | 15111975 | 5 | | 15111975 | 100 | 15111975.00 | | 15111975.00 | 100.00 | | | | 100 | | | | | 15049730 |

| | | | | | | | Shareholding as a | | Voting Rights held in of Voting (XIV)Rights | each class of securitie | s(IX) | No. Of Shares | | No. Of Shares | Shareholding , as a % assuming full | Number of Loc (XII | ked in shares | Number of Sha otherwise e (X | ncumbered | |
|---|---|----------------------------------|--|--|---|---|---|----------------------------|--|-------------------------|---|---|---|---|--|-----------------------|---------------------------------------|------------------------------------|---------------------------------------|--|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid- up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | Total as a % of Total Voting rights | Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | Number of equity shares held in dematerialized form (XIV) |
| Α | | rn of the Promo | oter and Promoter | Group | | l l | | <u> </u> | | ļ. | | | | | | | | | ļ. | |
| (1) | | | | | | | | | | | | | | | | | | | | |
| (a) (b) | | | | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/ Banks | | | | | | | | | | | | | | | | | | | |
| (d) | | 1 | 5367806 | | | 5367806 | 35.52 | 5367806.00 | | 5367806 | 35.52 | | | | 35.52 | | | | | 5367806 |
| | Sub-Total (A)(1) | 1 | 5367806 | | | 5367806 | 35.52 | 5367806.00 | | 5367806 | 35.52 | | | | 35.52 | | | | | 5367806 |
| (2) | | | 1 | | | | | | | | | | 1 | T | | | | | | |
| (a) | Individuals (NonResident Individuals/ Foreign Individuals) | | | | | | | | | | | | | | | | | | | |
| (b) | | | | | | | | | | | | | | | | | | | | |
| (c) | | | | | | | | | | | | | | | | | | | | |
| (d) | | | 5367275 | | | 5367275 | | | | 5367275 | 35.52 | | | | | | | | | 5367275 |
| (e) | Any Other (specify) Sub-Total (A)(2) | 1 | 5367275 | | | 5367275 | 35.52 35.52 | 5367275.00 5367275.00 | | 5367275 | 35.52 35.52 | | | | 35.52 35.52 | | | | | 5367275 |
| | Total Shareholding of Promoter and Promoter Group | - 1 | 330/2/3 | | | 330/2/3 | 33.32 | 3307275.00 | | 33072/3 | 33.32 | | | | 35.52 | | | | | 330/2/3 |
| | (A)=(A)(1)+(A)(2) | 2 | 10735081 | | | 10735081 | 71.04 | 10735081.00 | | 10735081 | 71.04 | | | | 71.04 | | | | | 10735081 |
| | Details of Shares which remain unclaimed for Promoter | & Promoter Grou | ир. | | | | | | | | | | | | | | | | | |
| В | Table III - Statement showing shareholding | | | | | | | | | | | | | | | | | | | |
| (1) | pattern of the Fubile Shareholder | | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | 5 | 1436504 | | | 1436504 | 9.51 | 1436504 | | 1436504 | 9.51 | | | | 9.51 | | | | | 1435916 |
| (b) | | | | | | | | | | | | | | | | | | | | |
| (c) | | 1 | 22259 | | | 22259 | 0.15 | 22259 | | 22259 | 0.15 | | | | 0.15 | | | | | 22259 |
| (d) (e) | Foreign Venture Capital Investors Foreign Portfolio Investors | | 119850 | | | 119850 | 0.79 | 119850 | | 119850 | 0.79 | | | | 0.79 | | | | | 119850 |
| (f) | Financial Institutions/ Banks | 18 | 119850 | | | 119830 | 0.79 | 119850 | | 119830 | 0.75 | | | | 0.79 | | | | | 119850 |
| (g) | | 1 | 317149 | | | 317149 | 2.10 | 317149 | | 317149 | 2.10 | | | | 2.10 | | | | | 317149 |
| (h) | Provident Funds/ Pension Funds | | | | | | | | | | | | | | | | | | | |
| (i) | | | | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(1) Central Government/ State Government(s)/ | 25 | 1895762 | | | 1895762 | 12.54 | 1895762.00 | | 1895762 | 12.54 | | | | 12.54 | | | | | 1895174 |
| (2) | President of India | | | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(2) | | | | | | | | | | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | | | | | | | | | | |
| | Individuals - i.Individual shareholders holding nominal share | | | | | | | | | | | | | | | | | | | |
| (a(i)) | capital up to Rs. 2 lakhs. Individuals - | 16908 | 1721082 | | | 1721082 | 11.39 | 1721082 | | 1721082 | 11.39 | | | | 11.39 | | | | | 1659513 |
| | ii. Individual shareholders holding nominal share | | | | | | | | | | | | | | | | | | | |
| (a(ii)) | capital in excess of Rs. 2 lakhs. NBFCs registered with RBI | 8 | 452404 2 14300 | | | 452404 14300 | 2.99 | 452404 14300 | | 452404 14300 | 2.99 | | | | 2.99 | | | | | 452404 14300 |
| (c) | Employee Trusts | | 14300 | | | 14300 | 0.03 | 14300 | | 14300 | 0.03 | | | | 0.03 | | | | | 14300 |
| | Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | | | |
| (d) | | | | | | | | | | | | | | | | | | | | |
| (e) | Any Other (specify) Sub-Total (B)(3) | 275 17193 | | | | 293346 2481132 | 1.94 16.42 | 293346 2481132.00 | | 293346 2481132 | 1.94 16.42 | | | | 1.94 16.42 | | | | | 293258 2419475 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | | 2481132 4376894 | 28.96 | 4376894.00 | | 4376894 | 28.96 | | | | 16.42 | | | | | 2419475 4314649 |
| | Details of the shareholders acting as persons in Concert | | | | | | | | | | | | | | | | | | | |
| | Details of Shares which remain unclaimed for Public | | | | | | | | | | | | | | | | | | | |
| С | Table IV - Statement showing shareholding pattern | of the Non Pro | moter- Non Public | shareholder | | | | | | | | | | | | | | | | |
| | Custodian/DR Holder - Name of DR Holders (If | | | | | | | 1 | | | | | | | | | | | | |
| (1) | Available) | | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | | | | | | | | | | | |
| | Total NonPromoter- Non Public Shareholding | | | | | | | | | | | | | | | | | | | |
| | (C)= (C)(1)+(C)(2) Total (A+B+C2) | 17220 | 15111975 | | | 15111975 | 100.00 | 15111975.00 | | 15111975 | 100.00 | | | | 100.00 | | | | | 15049730 |
| | Total (A+B+C2) Total (A+B+C) | 17220 | 1 | | | 15111975 15111975 | 100.00 | 15111975.00 15111975.00 | | 15111975 15111975 | 100.00 | | | | 100.00 | | | | | 15049730 15049730 |
| | rotal (A+B+C) | Add Not | | 131119/5 | 100.00 | | | | 100.00 | | | | | 13049730 | | | | | | |
| | | Disclosure | e of notes in case o | f promoter holidir | ng in dematerialsed | form is less than 1 | 00 percentage | Add Not | tes | | | | | | | | | | | |
| | | | Disclo | sure of notes in ca | se of public share | holding is less than | 25 percentage | Add Not | tes | | | | | | | | | | | |
| Disclosure of notes on shareholding pattern for company remarks explanatory | | | | | | | | | tes | | | | | | | | | | | |